Attorney's Docket No.: 17109-002001 / 91

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Manuel Vega et al.

: 1631 Art Unit

Serial No.: 10/022,249

Examiner: Jerry Lin

Filed

: December 17, 2001

Conf. No.: 7196

Cust. No. : 20985

Title

: HIGH THROUGHPUT DIRECTED EVOLUTION BY RATIONAL

MUTAGENESIS

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, copy of European Office Action, a Statement pursuant to 37 C.F.R. §1.97(e), and a return postcard for filing in connection with the above-captioned application. Since this Supplemental Information Disclosure Statement is filed with a Statement pursuant to 37 C.F.R. §1.97(e), a fee for filing the Supplemental Information Disclosure Statement should not be required. If, however, it is determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

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The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 17109-002001 / 911 Address all correspondence to:

Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-4777

Facsimile: (202) 626-7796 email: seidman@fr.com

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 740125127 US Date of Deposit March 2, 2007

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 in the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie Seidman

Attorney's Docket No.: 17109-002001 / 911

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

Dear Sir:

This Supplemental Information Disclosure Statement is filed pursuant to 37 C.F.R. §1.97(c) and includes a statement in accordance with 37 C.F.R. §1.97(e). The statement applies to the copy of a European Examination Report, provided herewith. A fee for filing this Supplemental Information Disclosure Statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

As noted in the attached statement, the information is a communication from a foreign patent office in a counterpart foreign application, which was not received by any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 740125127 US Date of Deposit March 2, 2007

Patents, U.S. Patent and Tradernark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie Seidman

Applicant: Manuel Vega et al.

Serial No.: 10/022,249

Filed: December 17, 2001

Attorney's Docket No.: 17109-002001 / 911 Supplemental Information Disclosure Statement

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98.

In accordance with the requirements of 37 C.F.R. §1.98, a copy of a European Examination Report (Document I), issued December 6, 2006, in connection with corresponding European Patent Application No. 02798018.4 is provided for consideration by the Examiner. The table below lists Documents I and includes a column that provides a space next to the document to be considered, for the Examiner's initials.

Examiner Initial	Document No.	Document	
		Copy of European Examination Report, issued December 6, 2006, in connection with corresponding European Patent Application No. 02798018.4	

Provided herewith and submitted for consideration by the Examiner is a copy of a European Examination Report (Document I), issued December 6, 2006, in connection with European Patent Application No. 02798018.4 (Attorney Docket No. 17109-002EP1/911EP), which is a national stage filing of International Patent Application No. PCT/IB02/03921, which claims priority to the instant application. In the European Examination Report, the Examiner cites six documents: 1) WO 01/32844, 2) WO 01/44809, 3) WO 95/23813, 4) WO 01/86291, 5) US 6,013,478 and 6) Drittanti et al., "High throughput production, screening and analysis of adeno-associated viral vectors", Gene Therapy, 7(11):924-929 (2000). Documents 1-6 have already been made of record in the instant application in Information Disclosure Statements, supplied with Forms PTO-1449 and copies of the cited non U.S. patent documents, filed November 13, 2002, June 5, 2003 and March 15, 2005.

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Supplemental Information Disclosure Statement

Filed : December 17, 2001

Further to the list provided in the communication filed on June 22, 2006, applicant also makes known to the examiner the following pending U.S. and International Applications that have one or more common inventors and/ or are commonly owned:

U.S.S.N.	Filing Date	Publication or	Publication	Docket No.
		Issue No.	or Issue Date	
11/412,939	04/28/06	2006-0195268	08/31/06	n/a
11/476,173	06/26/06	2006-0247170	11/02/06	925B
11/429,100	05/04/06	2006-0251619	11/09/06	926
11/656,921	01/22/07	n/a	n/a	922E
Int'l App. No.	Filing Date	Publication	Publication	Docket No.
		No.	Date	
PCT/EP05/009528	09/05/05	WO 06/024547	03/09/06	n/a
PCT/IB05/003662	11/04/05	WO 06/048777	05/11/06	925PC
PCT/IB06/002034	05/04/06	n/a	n/a	926PC

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the information, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97 (g and h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56 (b) exists.

Applicant: Manuel Vega et al.

Serial No.: 10/022,249

Filed: December 17, 2001

Attorney's Docket No.: 17109-002001 / 911 Supplemental Information Disclosure Statement

Applicant respectfully requests that the Examiner review the foregoing document and make it of record in the file history of the above-captioned application.

Respectfully submitted

Stephanie Seidman

Reg. No. 33,779

Attorney Docket No. 17109-002001 / 911

Address all correspondence to:
Stephanie Seidman

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Alexandria, VA 22313-1450

STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. § 1.97(e)

Dear Sir:

- 1. This statement is being made for the Supplemental Information Disclosure Statement accompanying this certification.
- I, Stephanie Seidman, certify that each item of information contained in 2. the accompanying Supplemental Information Disclosure Statement is a communication from a foreign patent office in a corresponding foreign application which was not received by any individual designated in C.F.R. §1.56(c) more than three months prior to the filing of the attached Supplemental Information Disclosure Statement, and that documents cited therein by foreign patent offices have already been made of record in the instant application. A foreign office communication from the European Patent Office, dated December 6, 2006 and received on January 2, 2007, is provided in connection with European Patent Application No. 02798018.4 (Attorney Docket No. 17109-002EP1/

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 740125127 US Date of Deposit March 2, 2007

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie Seidman

Applicant: Manuel Vega et al.

Serial No.: 10/022,249

Filed: December 17, 2001

Attorney's Docket No.: 17109-002001 / 911 Statement in accordance with 37 C.F.R. § 1.97(e)

911EP). This European patent application claims priority to International Patent Application No. PCT/IB02/03921 and to the instant application.

3. The person making this statement is an attorney of record in the abovecaptioned application and signs below on the basis of information in the attorney's file.

Respectfully submitted,

Stephanie Seidme

Attorney Docket No. 17109-002001 / 911 Address all correspondence to: Stephanie Seidman

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